

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/603,539
Filing Date	6/25/03
First Named Inventor	Penrice
Art Unit	3711
Examiner Name	Unassigned
Attorney Docket Number	20339 : 16

Total Number of Pages in This Submission

9

### ENCLOSURES (Check all that apply)

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Statement Under 37 CFR 3.73(b); Assignment; Postcard |
|---|--|---|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	ARNALL GOLDEN GREGORY LLP
Signature	
Date	2/3/04

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2/3/04

Typed or printed Lucille Golden-Blakey

Signature

Date 2/3/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/603,539
Filing Date	08/25/2003
First Named Inventor	PENRICE
Art Unit	3711
Examiner Name	
Attorney Docket Number	20339.16

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

25854

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

25854

OR

<input type="checkbox"/> Firm or Individual Name	ARNALL GOLDEN GREGORY LLP				
Address	1201 WEST PEACHTREE STREET				
Address	SUITE 2800				
City	ATLANTA	State	GEORGIA	Zip	30309
Country	US				
Telephone	(404) 873-8500	Fax	(404) 873-8501		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

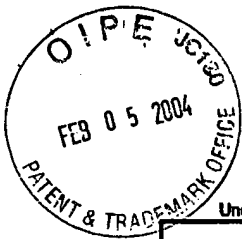
Name	Charles H. Falls, Patent Counsel, Scientific Games Royalty Corporation		
Signature	<i>CHARLES H. FALLS</i>		
Date	FEB 3, 2004	Telephone	(770) 664-3700

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Scientific Games Royalty Corporation

Application No./Patent No.: 10/603,539 Filed/Issue Date: 06/25/2003

Entitled: METHODS AND APPARATUS FOR PROVIDING A LOTTERY GAME

Scientific Games Royalty Corporation, a corporation of Delaware  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: INVENTOR To: IGT  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: IGT To: SCIENTIFIC GAMES ROYALTY CORPORATION  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

FEB 3, 2004  
Date  
(770) 684-9700  
Telephone number

Charles H. Falls  
Typed or printed name  
CHARLES H. FALLS  
Signature  
Patent Counsel  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT

Serial No: 10/603,539

Filed: June 25, 2003

Title: Methods and Apparatus for Providing a Lottery Game

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assigns to, IGT, a Nevada Corporation, 9295 Prototype Drive, Reno, Nevada 89521, (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrants himself to be the owner of the interest herein assigned and to have the right to make this assignment and further warrants that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agrees upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

MARSHALL, GERSTEIN & BORUN, 6300 Sears Tower, 233 South Wacker Drive, Chicago, Illinois 60606-6357

Sole Inventor

WITNESS my hand this 29<sup>th</sup> day of July, 2003.

Stephen S. Penrice  
Stephen Penrice

State of ~~Nevada~~ New Jersey )  
County of Passaic ) SS

On this 29<sup>th</sup> day of July, 2003 before me, a Notary Public in and for the county and state aforesaid, appeared Stephen Penrice, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 1/8/2004

Steven C. Jaffe  
Notary Public

**STEVEN C. JAFFE**  
**NOTARY PUBLIC OF NEW JERSEY**  
**Commission Expires 1/8/2004**

**EXHIBIT 1**

**PATENT ASSIGNMENT**

**THIS PATENT ASSIGNMENT** (this "Assignment") is made and entered into as of October 22, 2003 ("Effective Date") by and between IGT, a Nevada corporation with a principal place of business at 9295 Prototype Drive, Reno, NV 89511 ("Assignor"), and Scientific Games Royalty Corporation, a Delaware corporation, with a principal place of business at 220 Continental Drive, Suite 407, Newark, DE 19713 ("Assignee").

**WHEREAS**, Powerhouse Technologies, Inc., a Delaware corporation and wholly owned subsidiary of IGT ("Powerhouse"), IGT Online Entertainment Systems, Inc. ("OES") and Scientific Games Corporation, an Affiliate of Licensee ("Purchaser"), are parties to that certain Stock Purchase Agreement, dated as of September 11, 2003 (the "Purchase Agreement"), pursuant to which, Powerhouse has agreed to sell to Purchaser, and Purchaser has agreed to purchase from Powerhouse, all of the stock of OES; and

**WHEREAS**, pursuant to the Purchase Agreement, Assignor wishes to assign to Assignee, and Assignee wishes to acquire from Assignor, the patents and patent applications set forth on Schedule 1 attached hereto (collectively, the "Assigned Patents").

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns, transfers and sets over to Assignee its entire right, title and interest in and to the Assigned Patents, including, without limitation, any continuations, divisions, continuations-in-part, reissues, reexaminations, extensions or foreign equivalents thereof, and all other corresponding rights that are or may be secured under the laws of the United States and any foreign country, new or hereafter in effect, for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made, together with all income, royalties, damages or payments due or payable as of the Effective Date or thereafter, including, without limitation, all claims for damages by reason of past, present or future infringement or other unauthorized use of the Assigned Patents, with the right to sue for, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks, and the corresponding entities or agencies in any applicable foreign countries, to record Assignee as the assignee and owner of the Assigned Patents.

\* \* \* \* \*

CONFIDENTIAL

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be executed by their duly authorized representatives as of the Effective Date.

IGT  

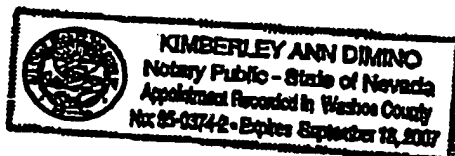

SCIENTIFIC GAMES ROYALTY CORPORATION

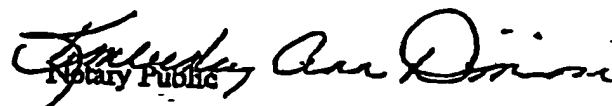
Name: SARA BETH BROWN  
SR. VICE PRESIDENT  
 Title: GENERAL COUNSEL/SECRETARY

Name: \_\_\_\_\_  
 Title: \_\_\_\_\_

STATE OF NEVADA )  
 ) SS.  
 COUNTY OF WASHOE

On this 22 day of OCTOBER, there appeared before me SARA BETH BROWN personally known to me, who acknowledged that he signed the foregoing Assignment as his voluntary act and deed on behalf and with full authority of IGT



  
 Notary Public

STATE OF  
 )  
 ) SS.  
 COUNTY OF

On this \_\_\_\_\_ day of \_\_\_\_\_, there appeared before me \_\_\_\_\_ personally known to me, who acknowledged that he signed the foregoing Assignment as his voluntary act and deed on behalf and with full authority of \_\_\_\_\_

Notary Public

**CONFIDENTIAL**

**IN WITNESS WHEREOF**, Assignor and Assignee have caused this Assignment to be executed by their duly authorized representatives as of the Effective Date.

## IGT

**SCIENTIFIC GAMES ROYALTY CORPORATION**

CORPORATION  
Math & Sol

Name: \_\_\_\_\_

Name: \_\_\_\_\_

**Title:** \_\_\_\_\_

**Title:** \_\_\_\_\_

STATE OF )  
 ) SS.  
COUNTY OF )

On this day of , there appeared before me , personally known to me, who acknowledged that he signed the foregoing Assignment as his voluntary act and deed on behalf and with full authority of .

**Notary Public**

STATE OF New York )  
 ) SS.  
COUNTY OF New York )

On this 6<sup>th</sup> day of November, there appeared before me Martin E. Schloss, personally known to me, who acknowledged that he signed the foregoing Assignment as his voluntary act and deed on behalf and with full authority of

*Carol L. Gimer*  
Notary Public



# Schedul 1

## Assigned Pat nts

Country	Title	Application Number	Filing Dat
US	Lottery System with Method for Paying Multiple Progressive Jackpots		9/23/03
US	Lottery System with Method For Playing A Lottery Game Using Multiple Independent Lottery Results		9/23/03
US	A Method for Registering Scratch Tickets in a Progressive Jackpot Game		
US	A Method of Selling Additional Indicia in a Progressive Lotto Jackpot Game		
U.S.	System and Method For Playing a Lottery-Type Game	10/020,866	12/13/01
U.S.	System and Method For Playing a Lottery-Type Game	PCT/US02/39283	12/9/02
US	A Method for Playing a Lottery Game with Enhanced Prize Structure Derived from Multiple Plays		
US	Methods and Apparatus for Providing a Lottery Game	10/603,539	6/25/03
US	A Bingo Game that Uses Keno Draws		
US	Lottery Game Method	10/612,307	7/2/03
US	A Method of Converting a Lotto Matrix Game into a Lottery Monitor Attrition Game		
US	Lottery and Gaming Systems With Dynamic Lottery Tickets		10/8/03
U.S.	System and Method For Playing Multiplier Game	09/590,735	6/8/00
AU	System and Method For Playing Multiplier Game	2001265382	6/7/01
EP	System and Method For Playing Multiplier Game	1939916.1	6/7/01
JP	System and Method For Playing Multiplier Game	2002-501536	6/7/01
U.S.	Methods and Systems For Conducting Lottery-Type Games with Strategy Elements	10/029,398	12/19/01
PCT	Methods and Systems For Conducting Lottery-Type Games with Strategy Elements	PCT/US02/40535	12/18/02
AU	Method of Playing a Group Participation Game	742728	12/28/00
CA	Method of Playing a Group Participation Game	2,336,280	3/20/01

EP	Method of Playing a Group Participation Game		
U.S.	Method of Playing a Group Participation Gam	99928802	6/18/99
AU	M thod of Playing a Group Participation Gam	6,416,408	6/23/99
CA	M thod of Playing a Group Participation Game	25026/00	12/24/01
U.S.	Method of Playing a Group Participation Game	2,377,199	1/12/00
EP	Method of Playing a Group Participation Game	10/165,227	6/7/02
U.S.	Method of Playing a Group Participation Game	903251.7	1/12/00
U.S.	Methods and Systems For Metered Raffle-Style Gaming	09/106,659	6/29/98
U.S.	Methods and Systems For Metered Raffle-Style Gaming	09/866,389	5/25/01
U.S.	Lottery and Gaming Systems with Multi-Theme Instant Win Games	PCT/US02/16751	5/24/02
U.S.	Word-Based Lottery Game		9/23/03
U.S.	Word-Based Lottery Game		9/15/03